

04-01-04

## Attorney Docket No. 59019 (3903)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Duane Ottolini, et al.

Examiner:

Not Yet Assigned

U.S.S.N.:

10/749,195

Group:

Not Yet Assigned

Filed:

December 30, 2003

For:

END FORMED TUB DESIGN

#### CERTIFICATE OF EXPRESS MAILING (Label No.: EV 438990098 US)

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on <u>March 30, 2004</u> and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By:

Nicole M. McKinnon

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

## I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office are listed on Form PTO-1449, attached hereto.

II. COPIES Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed. b. \_\_\_\_ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No.\_\_\_\_\_, filed on \_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application. III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box) a. <u>X</u>\_\_\_ Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required). A concise explanation of the relevance of all patents, publications b. \_\_\_\_ or other information listed that is not in the English language is as follows: The following additional information is provided for the Examiner's consideration: **FEES** IV. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(b) (check one box) a. <u>X</u> within three months of the filing date of a national application (37) C.F.R. § 1.97(b) (1]. No fee or certification is required. b. \_\_\_\_ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2). No fee or certification is required.

Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a

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c. \_\_\_\_

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first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

V. THIS IDS IS BEING FILED UNDER 37 C.F.R. § 1.97(c): (check one box)

before the mailing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) (1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c) (2)).

a	No certification; therefore, a fee in the amount of \$180.00	is
	required by 37 C.F.R. §1.17(p).	
	or	٠

b. \_\_\_\_ See the certification below. No fee is required.

# VI. STATEMENT UNDER 37 C.F.R. § 1.97(e) (check only one box)

The undersigned hereby states that

- each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- b. \_\_\_\_ no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.
- c. \_\_\_\_ Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certifies that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was

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known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.

\_\_\_X\_\_ No fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. **04-1105**.

Respectfully submitted,

Date: March 30, 2004

By:

John J. Penny, Jr. (Reg. No. 36,984)

Attorney for Applicants EDWARDS & ANGELL, LLP

P. O. Box 55874 Boston, MA 02205 Tel: (617) 517-5549

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CERTIFICATE OF Applicant(s): Duane Ott	MAIL'' (37 CFR 1.10)	Docket No. 59019 (3903)						
Serial No.	Serial No. Filing Date Examiner							
10/749,195								
MAR 3 0 2004 L.	Invention and FORMED TUB DESIGN							
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INFORMATION DISCLOSURE
APPLICANT(S): Duane Ottolini, et al.

STATEMENT
FILING DATE: GROUP NO.:

December 30, 2003 Not Yet Assigned

## UNITED STATES PATENT DOCUMENTS

EXAM.		DOCUMENT					FILING DATE
INITIALS		NUMBER	DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE
	AA	5,313,934	05/24/94	Wiita, et al.	128	4	09/10/92
	AB	5,989,183	11/23/99	Reisdorf, et al.	600	156	06/05/98
-	AC	994,861	06/13/11	Miller			02/25/1903
	AD	1,910,074	05/23/33	Wells			05/19/31
	AE	2,849,146	08/26/58	Wigert	220	40	05/23/56
	AF	3,065,875	11/27/62	Negoro	220	60	02/19/60
	AG	3,948,290	04/06/76	Arisland	138	89	06/06/74
	АН	4,054,228	10/18/77	Balocca, et al.	220	268	04/01/77
	ΑI	4,202,462	05/13/80	Imber	220	293	01/16/79
	AJ	4,893,657	01/16/90	Usui	138	109	01/25/89
	AK	5,649,662	07/22/97	Krichau, et al.	228	128	
	AL	6,318,410 B1	11/20/01	Miyajama, et al.	138	109	03/24/00

#### FOREIGN PATENT DOCUMENTS

DOCUMENT					TRANSLATION
NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES/NO
				:	

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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EXAMINER:		DATE:		
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